

SECTION I: Claims Determined Not to Have a Recognized Claim**A. Claimant Sold All Shares of Wells Fargo Common Stock for a Gain
(Notice ¶ 59(c)(iii))**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination																																				
1	Brian D. Baltzell & Lynda H. Baltzell Joint Ten WROS TOD (28016)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant sold all eligible shares of Wells Fargo common stock purchased during the Class Period for a gain.</i></p> <p><u>Detailed Explanation:</u> Because all shares were sold for a gain during the Class Period, the Recognized Loss Amount is \$0.00. See Notice ¶ 66. Specifically, "...the purchase price <i>minus</i> the sale price ..." (see Notice ¶ 59(c)(iii)) is a negative number and, pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review on the basis of the stated belief that the Claimant "...lost quite a bit of money...." The response the Claimant provided did not reflect or support any additional transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone twice and also sent three emails to further explain the reason for the rejection. The Claimant did not take or return Epiq's calls and the written correspondence received no response.</p> <p><u>Transactional History:</u></p> <table border="1" data-bbox="604 1068 1768 1401"> <thead> <tr> <th><u>Date of Transaction</u></th> <th><u>Transaction Type</u></th> <th><u>Number of Shares</u></th> <th><u>Price per Share</u></th> <th><u>Total</u> (excluding commission)</th> <th><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td>3/9/2016</td> <td>Purchase</td> <td>100</td> <td>\$48.7358</td> <td>\$4,873.58</td> <td>¶ 59(c)(iii)</td> </tr> <tr> <td>6/1/2016</td> <td>Purchase</td> <td>0.7371</td> <td>\$50.5224</td> <td>\$37.24</td> <td>¶ 59(c)(iii)</td> </tr> <tr> <td>9/1/2016</td> <td>Purchase</td> <td>0.7681</td> <td>\$48.8334</td> <td>\$37.51</td> <td>¶ 59(c)(iii)</td> </tr> <tr> <td>11/15/2016</td> <td>Sale</td> <td>101</td> <td>\$51.9247</td> <td>\$5,244.39</td> <td>¶ 59(c)(iii)</td> </tr> <tr> <td>12/19/2016</td> <td>Unsold Holdings</td> <td>0.5052</td> <td></td> <td></td> <td>¶ 59(c)(iii)</td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>	3/9/2016	Purchase	100	\$48.7358	\$4,873.58	¶ 59(c)(iii)	6/1/2016	Purchase	0.7371	\$50.5224	\$37.24	¶ 59(c)(iii)	9/1/2016	Purchase	0.7681	\$48.8334	\$37.51	¶ 59(c)(iii)	11/15/2016	Sale	101	\$51.9247	\$5,244.39	¶ 59(c)(iii)	12/19/2016	Unsold Holdings	0.5052			¶ 59(c)(iii)
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**B. All Shares of Wells Fargo Common Stock Were Purchased for \$48.96 Per Share or Less and Still Held as of Close of Trading on December 19, 2016
(Notice ¶ 59(d)(ii))**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
2	Varant Majarian (45944)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See</i> Notice ¶ 59. Specifically, "...the purchase price <i>minus</i> \$48.96" (<i>see</i> Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero.</p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review on the basis of the stated belief that the Claimant "...got a postcard stating I am part of the class action I am qualified..." The response the Claimant provided did not reflect or support any additional transactions beyond those contained in the original submission.</p> <p>Epiq contacted the Claimant by telephone and explained the deficiency on the Claim. The Claimant disconnected the call, then called back several times attempting to follow up on the original call. Epiq returned the call and explained the calculation of the Claim. The Claimant confirmed the transactions included with the Claim, but requested that the Court confirm whether Epiq has correctly applied the Plan of Allocation in relation to the rejection of the Claim.</p>

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>
2/26/2014	Beginning Holdings	934.38			
6/21/2016	Purchase	2	\$47.0575	\$94.11	¶ 59(d)(ii)
6/24/2016	Purchase	2	\$45.6175	\$91.235	¶ 59(d)(ii)
6/28/2016	Purchase	2	\$45.8999	\$91.7998	¶ 59(d)(ii)
7/26/2016	Sale	6	\$47.8225	\$286.935	
9/12/2016	Purchase	1	\$48.3690	\$48.3690	¶ 59(d)(ii)
9/12/2016	Purchase	1	\$48.1200	\$48.12	¶ 59(d)(ii)
9/14/2016	Purchase	2	\$46.5875	\$93.175	¶ 59(d)(ii)
9/14/2016	Purchase	1	\$47.3175	\$47.3175	¶ 59(d)(ii)
9/14/2016	Purchase	1	\$47.3375	\$47.3375	¶ 59(d)(ii)
9/14/2016	Purchase	2	\$47.5175	\$95.035	¶ 59(d)(ii)
9/14/2016	Purchase	2	\$47.4075	\$94.815	¶ 59(d)(ii)
9/15/2016	Purchase	2	\$45.5675	\$91.135	¶ 59(d)(ii)
9/15/2016	Sale	17	\$45.6438	\$775.94	
12/19/2016	Unsold Holdings	0			¶ 59(d)(ii)

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3	Louann Estes (34229)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See Notice ¶ 59.</i> Specifically, "...the purchase price <i>minus</i> \$48.96" (<i>see Notice ¶ 59(d)(ii)</i>) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant and left a voicemail. The Claimant attempted to call back and left a voicemail, requesting that Epiq return the Claimant's call. Epiq contacted the Claimant an additional three times by telephone and sent a letter via USPS mail. The Claimant did not take or return Epiq's calls and the written correspondence received no response.</p> <p><u>Transactional History:</u></p> <table border="1" data-bbox="600 997 1770 1295"> <thead> <tr> <th data-bbox="600 997 821 1109"><u>Date of Transaction</u></th> <th data-bbox="821 997 1024 1109"><u>Transaction Type</u></th> <th data-bbox="1024 997 1205 1109"><u>Number of Shares</u></th> <th data-bbox="1205 997 1388 1109"><u>Price per Share</u></th> <th data-bbox="1388 997 1583 1109"><u>Total</u> (excluding commission)</th> <th data-bbox="1583 997 1770 1109"><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td data-bbox="600 1109 821 1149">7/11/2016</td> <td data-bbox="821 1109 1024 1149">Purchase</td> <td data-bbox="1024 1109 1205 1149">32</td> <td data-bbox="1205 1109 1388 1149">\$48.1170</td> <td data-bbox="1388 1109 1583 1149">\$1,539.74</td> <td data-bbox="1583 1109 1770 1149">¶ 59(d)(ii)</td> </tr> <tr> <td data-bbox="600 1149 821 1222"></td> <td data-bbox="821 1149 1024 1222">Post-Class Purchase</td> <td data-bbox="1024 1149 1205 1222">0.2293</td> <td data-bbox="1205 1149 1388 1222"></td> <td data-bbox="1388 1149 1583 1222"></td> <td data-bbox="1583 1149 1770 1222"></td> </tr> <tr> <td data-bbox="600 1222 821 1295">12/19/2016</td> <td data-bbox="821 1222 1024 1295">Unsold Holdings</td> <td data-bbox="1024 1222 1205 1295">32.47¹</td> <td data-bbox="1205 1222 1388 1295"></td> <td data-bbox="1388 1222 1583 1295"></td> <td data-bbox="1583 1222 1770 1295">¶ 59(d)(ii)</td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>	7/11/2016	Purchase	32	\$48.1170	\$1,539.74	¶ 59(d)(ii)		Post-Class Purchase	0.2293				12/19/2016	Unsold Holdings	32.47 ¹			¶ 59(d)(ii)
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¹ The total number of shares purchased does not precisely match the amount of the unsold holdings, but this is the transaction data that the Claimant provided and it is consistent with the documentation provided by the Claimant.

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
4	Steven J. Baldwin Roth IRA (3226)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See</i> Notice ¶ 59. Specifically, “...the purchase price <i>minus</i> \$48.96” (<i>see</i> Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review on the basis of the stated belief that the Claimant “...did indeed hold shares of Wells Fargo and Company through at least one, and in fact all of the stated alleged corrective disclosures....” The response the Claimant provided did not reflect or support any additional transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone on three occasions and also sent a letter via USPS mail to further explain the reason for the rejection. The Claimant did not take or return Epiq’s calls and the written correspondence received no response.</p>

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Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination																														
5	Ming Chang Traditional IRA (30647)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> The Proof of Claim Form and documentation initially submitted did not (1) include documentation supporting the claimed transactions; and/or (2) mathematically balance. Prior to the Notice of Deficient Proof of Claim Submission being sent, the Claimant provided documentation supporting the transactions. However, the Claim failed to calculate to a Recognized Claim according to the Court Approved Plan of Allocation. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. Together with the request for Court review, the Claimant clarified that the post-class purchase was claimed in error, curing the balance discrepancy associated with the Claim. However, because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See</i> Notice ¶ 59. Specifically, “...the purchase price <i>minus</i> \$48.96” (<i>see</i> Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a</p>																														

² The total number of shares purchased does not precisely match the amount of the unsold holdings, but this is the transaction data that the Claimant provided and it is consistent with the documentation provided by the Claimant.

		<p>negative number, that Recognized Loss Amount shall be zero. Epiq contacted the Claimant by telephone twice and also sent three e-mails to further explain the reason for the rejection. The Claimant did not take or return Epiq’s calls and the written correspondence received no response.</p> <p><u>Transactional History:</u></p> <table border="1" data-bbox="600 412 1770 646"> <thead> <tr> <th><u>Date of Transaction</u></th> <th><u>Transaction Type</u></th> <th><u>Number of Shares</u></th> <th><u>Price per Share</u></th> <th><u>Total</u> (excluding commission)</th> <th><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td>1/22/2016</td> <td>Purchase</td> <td>72</td> <td>\$48.915</td> <td>\$3,521.88</td> <td>¶ 59(d)(ii)</td> </tr> <tr> <td>12/19/2016</td> <td>Unsold Holdings</td> <td>72</td> <td></td> <td></td> <td>¶ 59(d)(ii)</td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>	1/22/2016	Purchase	72	\$48.915	\$3,521.88	¶ 59(d)(ii)	12/19/2016	Unsold Holdings	72			¶ 59(d)(ii)
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6	Geraldine F. Whittington (12270)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See Notice ¶ 59.</i></p> <p>Specifically, “...the purchase price <i>minus</i> \$48.96” (<i>see</i> Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission.</p>																		

		<p>Epiq contacted the Claimant by telephone. The Claimant requested an email detailing the explanation of the deficiency associated with the Claim. Epiq sent an email explaining the deficiency as well as two follow-up emails. The Claimant responded to the third email confirming the request for Court review.</p> <p><u>Transactional History:</u></p> <table border="1" data-bbox="600 412 1770 638"> <thead> <tr> <th><u>Date of Transaction</u></th> <th><u>Transaction Type</u></th> <th><u>Number of Shares</u></th> <th><u>Price per Share</u></th> <th><u>Total</u> (excluding commission)</th> <th><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td>4/14/2014</td> <td>Purchase</td> <td>2084</td> <td>\$47.9999</td> <td>\$100,031.79</td> <td>¶ 59(d)(ii)</td> </tr> <tr> <td>12/19/2016</td> <td>Unsold Holdings</td> <td>2084</td> <td></td> <td></td> <td>¶ 59(d)(ii)</td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>	4/14/2014	Purchase	2084	\$47.9999	\$100,031.79	¶ 59(d)(ii)	12/19/2016	Unsold Holdings	2084			¶ 59(d)(ii)
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<p>7</p>	<p>SDAS LLC South Dakota Trust Co (76804)</p>	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See</i> Notice ¶ 59. Specifically, "...the purchase price <i>minus</i> \$48.96" (<i>see</i> Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone twice. The Claimant requested an email explaining in detail the deficiency associated with the Claim and stated that while he understands why the Claim fails to calculate to a Recognized Loss under the Plan of Allocation, he has been instructed in his capacity as trustee by the board of directors of the trust that he must pursue Court review.</p>																		

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12/19/2016	Unsold Holdings	15,000			¶ 59(d)(ii)															
Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination																		
8	Orland M. Brown (22035)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> The Proof of Claim Form and documentation submitted had a mathematical imbalance of 11,625.69 shares due to a claimed Unsold Holdings of 11,873.69. Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. See Notice ¶ 59. Specifically, "...the purchase price <i>minus</i> \$48.96" (see Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone twice. During the first call, the Claimant confirmed the unsold holdings of Wells Fargo common stock as of December 19, 2016, was 248 shares, matching the supporting documentation provided and curing the trade imbalance. Epiq contacted the Claimant a second time to further explain the calculation of the Recognized Claim. The Claimant understood the reason that the Claim calculates to no Recognized Claim according to the Court-approved Plan of Allocation; however, the Claimant wished to maintain the request for Court review.</p>																		

		<p><u>Transactional History:</u></p> <table border="1" data-bbox="600 302 1770 565"> <thead> <tr> <th><u>Date of Transaction</u></th> <th><u>Transaction Type</u></th> <th><u>Number of Shares</u></th> <th><u>Price per Share</u></th> <th><u>Total</u> (excluding commission)</th> <th><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td>8/4/2016</td> <td>Purchase</td> <td>203</td> <td>\$47.749</td> <td>\$9,693.047</td> <td>¶ 59(d)(ii)</td> </tr> <tr> <td>8/25/2016</td> <td>Purchase</td> <td>45</td> <td>\$48.460</td> <td>\$2,180.70</td> <td>¶ 59(d)(ii)</td> </tr> <tr> <td>12/19/2016</td> <td>Unsold Holdings</td> <td>248</td> <td></td> <td></td> <td>¶ 59(d)(ii)</td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>	8/4/2016	Purchase	203	\$47.749	\$9,693.047	¶ 59(d)(ii)	8/25/2016	Purchase	45	\$48.460	\$2,180.70	¶ 59(d)(ii)	12/19/2016	Unsold Holdings	248			¶ 59(d)(ii)
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<p>Disputed Claim No.</p>	<p>Name of Claimant(s) (Claim Number)</p>	<p>Claim Determination</p>																								
<p>9</p>	<p>Julia Northrup Rev Living Tr U/A DTD 11/03/2014 (116)</p>	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant purchased all shares for \$48.96 per share or less and held all shares of Wells Fargo common stock through December 19, 2016.</i></p> <p><u>Detailed Explanation:</u> The Proof of Claim Form and documentation submitted did not (1) contain documentation to substantiate the original purchase information for shares which were transferred into the Claimant’s account during the Class Period and/or (2) mathematically balance based on the claimed and documented transactions.</p> <p>Because all shares purchased during the Class Period were purchased for \$48.96 or less and were held as of the close of trading on December 19, 2016, the Recognized Loss Amount is \$0.00. <i>See</i> Notice ¶ 59. Specifically, “...the purchase price <i>minus</i> \$48.96” (<i>see</i> Notice ¶ 59(d)(ii)) is a negative number and pursuant to ¶ 58 of the Notice, if a Recognized Loss Amount calculates to a negative number, that Recognized Loss Amount shall be zero. In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review supported additional transfers into the account and updated the claimed Unsold Holdings. However, the Claimant’s response did not reflect or support any additional purchases beyond those contained in the original submission.</p>																								

Epiq contacted the Claimant by telephone four times and also sent an email to further explain the reason for the rejection. The Claimant did not take or return Epiq's calls and the written correspondence received no response.

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>
2/26/2014	Beginning Holdings	6,340			
11/25/2014	Transfer In	62			
11/20/2015	Transfer In	200			
12/1/2015	Transfer In	150			
4/1/2016	Purchase	100	\$48.00	\$4,800.00	¶ 59(d)(ii)
4/7/2016	Transfer In	126			
12/19/2016	Unsold Holdings	6,978			¶ 59(d)(ii)

**C. All Wells Fargo Common Stock Sold Prior to September 9, 2016
(Notice ¶ 59(a))**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination																																				
10	Dennis Y. Hue and Rickie Hue (36212)	<p><u>Reason for Rejection:</u> No Recognized Claim. <i>The Claimant sold all eligible shares of Wells Fargo common stock prior to the first corrective disclosure date</i></p> <p><u>Detailed Explanation:</u> Because all shares purchased during the Class Period were “Sold before September 9, 2016, the Recognized Loss Amount will be \$0.00.” Notice ¶ 59(a). In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission. Epiq contacted the Claimant by telephone and email to further explain the reason for ineligibility and the Claimant affirmatively maintained the request for Court review.</p> <p><u>Transactional History:</u></p> <table border="1" data-bbox="604 938 1768 1276"> <thead> <tr> <th><u>Date of Transaction</u></th> <th><u>Transaction Type</u></th> <th><u>Number of Shares</u></th> <th><u>Price per Share</u></th> <th><u>Total</u> (excluding commission)</th> <th><u>Notice Reference</u></th> </tr> </thead> <tbody> <tr> <td>10/14/2014</td> <td>Purchase</td> <td>200</td> <td>\$49.00</td> <td>\$ 9,800.00</td> <td>¶ 59(a)</td> </tr> <tr> <td>10/15/2014</td> <td>Purchase</td> <td>200</td> <td>\$47.50</td> <td>\$ 9,500.00</td> <td>¶ 59(a)</td> </tr> <tr> <td>10/24/2014</td> <td>Sale</td> <td>200</td> <td>\$51.10</td> <td>\$10,220.00</td> <td>¶ 59(a)</td> </tr> <tr> <td>10/31/2014</td> <td>Sale</td> <td>200</td> <td>\$53.10</td> <td>\$10,620.00</td> <td>¶ 59(a)</td> </tr> <tr> <td>12/19/2016</td> <td>Unsold Holdings</td> <td>0</td> <td></td> <td></td> <td>¶ 59(a)</td> </tr> </tbody> </table>	<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>	10/14/2014	Purchase	200	\$49.00	\$ 9,800.00	¶ 59(a)	10/15/2014	Purchase	200	\$47.50	\$ 9,500.00	¶ 59(a)	10/24/2014	Sale	200	\$51.10	\$10,220.00	¶ 59(a)	10/31/2014	Sale	200	\$53.10	\$10,620.00	¶ 59(a)	12/19/2016	Unsold Holdings	0			¶ 59(a)
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12/19/2016	Unsold Holdings	0			¶ 59(a)																																	

SECTION II: Claims with No Eligible Class Period Purchases of Wells Fargo Common Stock		
Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
11	Vernon E Weiser Roth IRA (43544)	<p><u>Reason for Rejection:</u> Ineligible. <i>The Claimant did not purchase or otherwise acquire Wells Fargo common stock during the Class Period.</i></p> <p><u>Detailed Explanation:</u> The Proof of Claim Form submitted by the Claimant reflects that the Claimant did not purchase Wells Fargo common stock during the Class Period, but rather held the shares at the beginning of the Class Period. The documentation submitted with the Proof of Claim Form shows a single sale of 348 shares on April 21, 2015. Because the Proof of Claim Form does not indicate any share of Wells Fargo common stock purchased during the Class Period, the Claimant was sent a Notice of Deficient Proof of Claim Submission indicating this deficiency. <i>See</i> Notice ¶ 25.</p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission.</p> <p>Epiq contacted the Claimant by telephone three times and also sent a letter via USPS mail to further explain the reason for the rejection. The Claimant did not take or return Epiq's calls and the written correspondence received no response.</p>

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
12	Clifford Kevin White Traditional IRA (71740)	<p><u>Reason for Rejection:</u> Ineligible. <i>The Claimant did not purchase or otherwise acquire Wells Fargo common stock during the Class Period.</i></p> <p><u>Detailed Explanation:</u></p> <p>The Proof of Claim Form submitted reflects that the Claimant did not purchase Wells Fargo common stock, but rather held the shares at the beginning of the Class Period and made a purchase after the Class Period ended. Because the Proof of Claim Form does not indicate any shares of Wells Fargo common stock purchased during the Class Period, the Claimant was sent a Notice of Deficient Proof of Claim Submission notifying the Claimant of this deficiency. <i>See Notice ¶ 25.</i></p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission.</p> <p>Epiq contacted the Claimant by telephone and was advised that the Claimant wished to have an opportunity to obtain documentation supporting eligible purchases during the Class Period. Epiq contacted the Claimant by telephone two additional times and via email. The Claimant did not take or return Epiq's follow-up calls and the written correspondence received no response.</p>

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
13	Donna Marie Richardson IRA (75188)	<p><u>Reason for Rejection:</u> Ineligible. <i>The Claimant did not purchase or otherwise acquire Wells Fargo common stock during the Class Period.</i></p> <p><u>Detailed Explanation:</u> The Proof of Claim Form and documentation submitted reflect that the Claimant is attempting to claim for holdings in Claimant's account and does not indicate that Claimant held or purchased Wells Fargo common stock. Because the Proof of Claim Form does not indicate any share of Wells Fargo common stock purchased during the Class Period, the Claimant was sent a Notice of Deficient Proof of Claim Submission indicating this deficiency. <i>See Notice ¶ 25.</i></p> <p>In response to the Notice of Deficient Proof of Claim Submission, the Claimant requested Court review. The documentation the Claimant submitted with the request for Court review did not reflect or support any eligible transactions beyond those contained in the original submission.</p> <p>Epiq contacted the Claimant by telephone and was advised that the Claimant believed she would be able to obtain documentation supporting eligible purchases during the Class Period. Epiq contacted the Claimant by telephone two additional times and via email. The Claimant did not take or return Epiq's follow-up calls and the written correspondence received no response.</p>

SECTION III: Claims That Never Cured Their Condition of Ineligibility**A. Claimant Did Not Provide Adequate Documentation**

Disputed Claim No.	Name of Claimant(s) (Claim Number)	Claim Determination
14	Gayane D. Antaplian (75898)	<p><u>Reason for Rejection:</u> Inadequate and Potentially Fraudulent Documentation <i>The Claimant failed to provide adequate supporting documentation for the transactions in Wells Fargo Common Stock during the Class Period.</i></p> <p><u>Detailed Explanation:</u> As part of Epiq’s procedure for reviewing claims, this Claim was identified for further review for being on the “watch list”. Because the supporting documentation provided with this Claim included indicia of a potentially fraudulent Claim, e.g., inconsistencies of the broker-dealer name, inconsistencies in the broker-dealer website, and typographical errors in the trade confirmation documents, no Recognized Loss was calculated for the transactions. <i>See Notice ¶ 58.</i> Specifically, “a ‘Recognized Loss Amount’ will be calculated for each purchase of Wells Fargo common stock during the Class Period that is listed on the Claim Form and for which adequate documentation is provided.”</p> <p>In response to being notified of the rejection of the Claim for providing inadequate documentation, the Claimant requested Court review on the basis of the Claimant’s stated belief to “...have provided Epiq all the documentation that was requested of me.”</p> <p>Epiq contacted the Claimant by letter to further explain the reason for the rejection of the Claim, including the indicia of a potentially fraudulent Claim listed above. The Claimant later contacted Epiq with a general inquiry regarding the timing of the case via e-mail, to which Epiq responded summarizing the letter that had been sent and including an additional copy of the letter. Additionally, this Claim, along with its supporting documentation, was sent to federal authorities for further investigation related to potential fraud. No additional correspondence has been received from the Claimant related to the withdrawal of the claim or request for review by the Court.</p>

Transactional History:

<u>Date of Transaction</u>	<u>Transaction Type</u>	<u>Number of Shares</u>	<u>Price per Share</u>	<u>Total</u> (excluding commission)	<u>Notice Reference</u>
3/10/2014	Purchase	25,000	\$48.15	\$1,203,750.00	
2/17/2015	Purchase	25,000	\$55.37	\$1,384,250.00	
10/29/2015	Purchase	28,000	\$55.09	\$1,542,520.00	
9/15/2016	Sale	78,000	\$45.19	\$3,524,820.00	
12/19/2016	Unsold Holdings	0			